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antidepressant class medications, anticonvulsant class medications, combinations of psychostimulant and antidepressant class medications, combinations of anticonvulsant and antidepressant class medications, combinations of psychostimulant, antidepressant, and anticonvulsant class medications.

5       The present invention also includes a method for computerized generation of clinical reports that integrates interpretive information from medical professionals with results of medication responsivity evaluation.

### BRIEF DESCRIPTION OF THE FIGURES

10       The present invention may be understood more fully by reference to the following detailed description of the preferred embodiment of the present invention, illustrative examples of specific embodiments of the invention and the appended figures in which Fig. 1 illustrates a method of the present invention where: step 1 of Fig. 1 corresponds to elements 1 and 2 of the invention described below; step 2 corresponds to  
15 elements 3, 4, and 5; step 3 to elements 6 and 7; step 4 to element 8; and step 5 to elements 9 and 10.

### DETAILED DESCRIPTION OF THE INVENTION

More specifically, the following steps are employed:

- 20 1)     The EEG is recorded using electrodes placed on the patient's scalp, and the EEG data is stored in a digital format using a standardized protocol available on one of a number of commercially available instruments (current manufacturers include Cadwell Laboratories, Bio-Logic Systems Corp., Nicolet Biomedical, Oxford Instruments, among others). The International 10-20 System convention is used for determining the location of electrodes  
25 placed on the scalp. It is the responsibility of the recording facility to collect data in accordance with procedural specifications.
- 2)     The following patient criteria apply:
- 30 a)     Patient must have received a psychiatric diagnosis as specified in the Diagnostic and Statistical Manual, currently the Fourth Edition (DSM-IV).
- b)     Ages between six and ninety.
- c)     Patient is taking no medications. All medications potentially influence the EEG and must be discontinued or avoided for seven half-lives prior to baseline EEG examination. This includes "over the counter" sleeping pills, pain medication,  
35 nutritional health supplements and mega-vitamins.

SUBSTITUTE SPECIFICATION

d) Insulin, thyroid, estrogen, progesterone and other hormone replacement agents are not excluded. Some cardiac agents are included in the reference population of after the age of fifty-five.

e) Patients with any of the characteristics listed below are not suitable for prediction of medication responsivity based on EEG analysis:

(i) intramuscular depo-neuroleptic therapy within the preceding twelve months

(ii) a history of craniotomy with or without metal prostheses

(iii) a history of cerebrovascular accident

(iv) spikes or extreme low voltage on the conventional EEG

(v) a current diagnosis of seizure disorder

(vi) a diagnosis of dementia

(vii) mental retardation

(viii) current use of marijuana, cocaine, hallucinogens or other drugs of abuse

(ix) inability to remain medication-free and drug-free for seven half-lives of the current agent(s) prior to EEG recording

(x) significant abnormality of the CBC, chemistry or thyroid panel with TSH until corrected

f) A "positive" Urine Drug Screen (UDS) interferes with medication prediction methods. Studies are processed only if the UDS is negative just prior to recording the digital EEG.

3) The digital EEG data computer file is packaged along with additional patient

identifying information using packaging and transmission software. The patient information includes:

a) name

b) date of birth

c) referring physician

d) handedness

e) height

f) weight

g) date of test

h) patient ID (social security number)

[illegible]

10 The transmittal of the EEG file and related patient information is tracked as it is packaged, sent, processed, and returned. All log entries include dates and times calibrated to GMT.

## Hardware Requirements

## Software Requirements

4) The computer file is transferred off-hours using standard commercially available file transfer protocols (FTP) via the Internet, to a designated processing site. A special feature of the packaging and transmission software exists to allow immediate transfer of files for priority reporting if requested. The processing site monitors the transfer in order to detect

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the arrival of new computer files. When a new file is received, it is forwarded for professional interpretation, if requested, and specialized report generation.

5) The file is decompressed and decrypted at the processing site. Experienced technical and professional personnel then review the EEG signals and sections of the recording identified as containing signals generated by extracerebral sources are deleted from subsequent analyses. The samples of EEG selected for inclusion in analysis are then passed to the first stage of analysis.

6) The first stage of analysis includes computations that extract a standard set of features from the EEG. Quantitative spectral analysis provides commonly used measures of EEG power and relative power. Power is the square of amplitude; amplitude units are in microvolts ( $\mu V$ ), power units are microvolts squared ( $\mu V^2$ ). Relative power is a measure of the proportion of power in a given frequency band compared to the total band power at a given electrode. Frequency bands are defined as delta, .5 - 2.5 Hz.; theta, 2.5 - 7.5 Hz.; alpha, 7.5 - 12.5 Hz., and beta, 12.5 - 32Hz. The total band is .5 to 32 Hz.

EEG coherence, a commonly used measure of the similarity of activity for a pair of two scalp electrodes, also is extracted by spectral analysis for all interhemispheric and intrahemispheric sets of electrode pairs, for each frequency band as defined above.

Commonly used measures of peak frequency within each defined frequency band are computed.

Combinations of power and coherence measures over defined sets of scalp electrodes are also computed.

7) Features extracted from individual EEG data by quantitative spectral and statistical analysis are further compared to two distinct databases. In the second stage of analysis, Z-scores representing deviations from a nonsymptomatic reference population are computed. This reference population, often referred to as the "Neurometric" database, contains 2082 quantitative EEG measures including absolute power, relative power, coherence, symmetry, and mean frequency of the delta, theta, alpha and beta frequency bands of the EEG at every electrode position of the International 10-20 System for individuals from 6 to 92 years (database #1). The z-score value obtained by comparison of individual's data to the age appropriate subset of the database represents the patient's statistical deviation from the reference database.

# SUBSTITUTE SPECIFICATION

8) The third stage of processing involves medication response prediction using the patient database(database #2). This prediction is made by first identifying the pattern of EEG deviations from the reference database. Individual patient deviation is then compared with the characteristic features of the population of patients whose medications and  
5 treatment outcomes are known. A rule-based classifier is applied to estimate the likelihood that a patient EEG contains a pattern known to be responsive to a given agent, class of agents, or combination of agents or classes of agents. The EEG variables currently used by the classifier are shown in Tables 1-4, below.

|    |                   |  |                   |  |
|----|-------------------|--|-------------------|--|
| 10 | Column<br>Heading | Description of Abbreviation                  | Column<br>Heading | Description of Abbreviation                |
|    | <b>Table 1</b>    |  | <b>Table 2</b>    |  |
|    | RMAD              | Relative power monopolar<br>anterior delta   | FMAD              | Frequency monopolar<br>anterior delta      |
| 15 | RMPD              | posterior data                               | FMPD              | posterior delta                            |
|    | RMAT              | anterior theta                               | FMAT              | anterior theta                             |
|    | RMPT              | posterior theta                              | FMPT              | posterior theta                            |
|    | RMAA              | Anterior alpha                               | FMAA              | anterior alpha                             |
| 20 | RMPA              | Posterior alpha                              | FMPA              | posterior alpha                            |
|    | RMAB              | Anterior beta                                | FMAB              | anterior beta                              |
|    | RMPB              | posterior beta                               | FMPB              | posterior beta                             |
|    | CEAD              | Coherence interhemispheric<br>anterior delta | AADL              | Asymmetry intrahemispheric<br>delta - left |
| 25 | CEPD              | Posterior delta                              | AADR              | delta - right                              |
|    | CEAT              | anterior theta                               | AATL              | theta - left                               |
|    | CEPT              | posterior theta                              | AATR              | theta - right                              |
|    | CEAA              | anterior alpha                               | AAAL              | alpha - left                               |
| 30 | CEPA              | Posterior alpha                              | AAAR              | alpha - right                              |
|    | CEAB              | Anterior beta                                | AABL              | beta - left                                |
|    | CEPB              | posterior beta                               | AABR              | beta - right                               |

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| Table 3 |  | Table 4 |  |
|---------|--|---------|--|
| AED     | Asymmetry monopolar interhemispheric delta | CEBD    | Coherence interhemispheric bipolar delta |
| AET     | Theta                                      | CEBT    | Theta                                    |
| AEA     | Alpha                                      | CEBA    | Alpha                                    |
| AEB     | Beta                                       | CEBB    | Beta                                     |
| AEBD    | Asymmetry bipolar interhemispheric delta   | RBDL    | Relative power bipolar delta left        |
| AEBT    | Theta                                      | RBDR    | Delta - right                            |
| AEBA    | Alpha                                      | RBTL    | Theta - left                             |
| AEBB    | Beta                                       | RBTR    | Theta - right                            |
| CADL    | Coherence intrahemispheric delta - left    | RBAL    | Alpha - left                             |
| CADR    | Delta - right                              | RBAR    | Alpha - right                            |
| CATL    | Theta - left                               | RBBL    | Beta - left                              |
| CATR    | Theta - right                              | RBBR    | Beta - right                             |
| CAAL    | Alpha - left                               |         |  |
| CAAR    | Alpha - right                              |         |  |
| CABL    | Beta - left                                |         |  |
| CABR    | Beta - right                               |         |  |

- 9) A formal report for the referring clinician is generated. The report is returned in a format that cannot be modified by the client (Adobe Systems, Inc., "portable document format", or "PDF"). This report contains certain elements as specifically requested by the referring clinician. These elements may include a professional medical interpretation of the digital EEG tracing, a presentation of selected features extracted by quantitative EEG analysis, a presentation of deviations from the Neurometric database, and a statement of the likelihood of favorable pharmacotherapeutic outcome based on comparison with patients having similar EEG features in the patient database #2. The treating physician is responsible for any medication selection, titrating of dosage and monitoring the patient for side effects and is instructed to incorporate results of reports with the psychiatric assessment to develop into an overall clinical treatment plan.

SUBSTITUTE SPECIFICATION

10) The report is returned and may be downloaded by the client on a regular schedule, using the packaging and transmission software for viewing and printing the report by the client at the recording site. PDF files are opened and displayed using an interface to Adobe Acrobat Reader (TM) software. Reports may be printed on any operating system compatible  
5 printer.

11) Follow up EEG recordings can then be used to track changes produced by administration of medications by repeating the entire process outlined above. For follow up studies, the patient also is interviewed by the treating physician and Clinical Global  
10 Improvement (CGI) is scored. A score of -1 indicates an adverse effect, 0 no improvement, 1 minimal or mild improvement, 2 moderate improvement, and 3 marked improvement or remission of symptoms. The CGI scores are sent to the processing center and are reported along with changes, expressed as difference scores, on variables shown in Tables 1-4 above.

15 The invention described and claimed herein is not to be limited in scope by the preferred embodiments herein disclosed, since these embodiments are intended as illustrations of several aspects of the invention. Any equivalent embodiments are intended to be within the scope of this invention. Indeed, various modifications of the invention in addition to those shown and described herein will become apparent to those skilled in the art  
20 from the foregoing description. Such modifications are also intended to fall within the scope of the appended claims.

The entire disclosures of references cited herein are incorporated herein, in their entireties, for all purposes.

Citation or identification of a reference in this application or in connection with this  
25 application shall not be construed that such reference is available as prior art to the present invention.

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